# CS4830: ORAM, Proof of Retrievability 

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## 1 A Simple Markov Chain Analysis

In class, when we learned about Oblivious RAM, we made a claim about the queue length distribution of a simple queuing system, but without proving it. We will now prove it.

Imagine the following simple queuing system. In every time step, with probability $p$, an item arrives and enters the queue. With probability $q=2 p$, an item gets serviced and therefore is removed from the queue. This is often referred to as a discrete $\mathrm{M} / \mathrm{M} / 1$ queue - the two Ms describe the fact that both the arrival process and the job service process are "memoryless", i.e., they do not depend on what happened in the past.

When $q>p$, this queuing system is said to be ergodic and has a steady state. This implies that when we run this queuing system for sufficiently many steps, the queue length has a stationary distribution. One way to analyze the stationary distribution is the follows: we draw a Markov Chain, where state $i$ denotes the event that the queue length is $i$ in some time step $T$. The arrows denote transition, and the number above/below the arrow denotes the probability of the transition.
For example, if the queue length is $i$ in time $T$, then with probability $\alpha:=p(1-q)$, the queue length becomes $i+1$ in time step $T+1$, and with probability $\beta:=q(1-p)$, the queue length becomes $i-1$ in time $T+1$. Note that $\alpha<\beta, \alpha+\beta<1$.

Suppose we are happy to assume that a stationary distribution exists, we can then derive the stationary distribution in the following manner. Note that stationary distribution means that for sufficiently large $T$, the queue length distribution in both $T$ and $T+1$ follows the same distribution.
Suppose $\pi_{i}$ is the probability (in the stationary distribution) that the queue length is $i$. I will now write a set of linear equations: For $i \geq 1$ :

$$
\pi_{i}:=\alpha \pi_{i-1}+(1-\alpha-\beta) \pi_{i}+\beta \pi_{i+1}
$$

in addition, $\pi_{0}=\frac{\beta}{\alpha} \pi_{1}$.
Now we want to solve this set of linear equations. One way to solve them is to make a guess and check that the guess is true. I will now guess that $\pi_{i}=\rho \pi_{i-1}$ for any $i \geq 1$ where $\rho:=\alpha / \beta$. Plug this guess into the set of linear equations (including the one for $\pi_{0}$ ), and it is easy to see the guess is true. Solving for $\pi_{i}$ using the fact that all the $\pi_{i}$ s should sum to 1 , we have

$$
\pi_{0}=\frac{\beta-\alpha}{\beta}, \pi_{i}=\rho^{i} \pi_{0}
$$

Given $\rho \leq 1 / 2, \pi_{i} \leq 2^{-i} \pi_{0}$.

### 1.1 Binary-Tree ORAM: Analysis

Claim 1. (Bucket size and overflow probability). If the bucket size $Z$ is super-logarithmic in $N$, then over any polynomially many accesses, no bucket overflows except with negligible in $N$ probability.

- Root and level 1: the bucket will be chosen for eviction with probability 1 . They are always empty.
- Now consider a bucket at level 2 of the ORAM tree. On average, one out of every four accesses (think about why), a block will enqueue in the bucket. With probability $1 / 2$, the bucket will be chosen for eviction.
- In general, we can conclude that for any non-leaf level $i>1$ of the ORAM tree, with each access, one out of every $2^{i}$ accesses, a block will enqueue, and with probability $2^{i-1}$, the bucket is chosen for eviction.
- For the leaf nodes, we can apply a standard balls-and-bins analysis, that is, if we throw $N$ balls into $N$ bins at random, then by Chernoff bound, we have that for any super-constant function $\alpha(\cdot)$,

$$
\operatorname{Pr}[\max \text { bin load }>\alpha \log N] \leq \exp (-\Omega(N))
$$

Note that the Markov chain does not accurately model the queue of each bucket. For example, a bucket $A$ in the 2 nd level can store more than 1 block after some accesses (for some randomness). And then, a child $B$ of $A$ can receive more than 1 blocks when A is evicted (for some randomness). This happens with non-zero probability, where $B$ receives more than 1 block in this time step. Thus, that case violates our assumption of the Markov chain, where there is exactly 1 item added with probability $p$, and 1 item removed with probability $q$.

## 2 Binary-Tree ORAM

Q: In the binary-tree ORAM, every time I remap a block, I choose a random path. What if instead of choosing a random path, I instead employ the procedure to choose a path:

- Repeat: sample a path at random,
- Until the new path isn't the same as the block's current (i.e., old) path.

Is the modified binary-tree ORAM scheme secure?

## 3 Proof of Retrievability

Q: In the proof of retrievability scheme based on $N, 2 N$ codes, suppose we did the following variant. Instead of taking the entire database of $N$ blocks and encoding it, we take each block of the database and encode it using a $k, 2 k$ code for some value $k$. All codewords of the same block are stored adjacent to each other. Is the scheme secure?

